

ALIE UNITED SHAMES OF AMERICA

TO WHOM THESE PRESENTS: SHAIR COME:

Keystone Seed Co., Inc.

TUltereas, there has been presented to the

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AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED NOVEL VARIETY OF SEXUALLY REPRODUCED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLI-. CANT(S) FOR THE TERM OF seventeen YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EX-

OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, ORTING IT, OR EXPORTING IT, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT 542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

LETTUCE

'Commander!

In Lestimony Watercof, I have hereunto set my hand and caused the seal of the Plant Variety Protection Office to be affixed at the City of washington

this 26th day of July in the year of our Lord one thousand nine

hundred and seventy-nine

Altest

Plant Variety Protection Of

Agricultural Marketing Service

UNITED STATES DEPARTMENT OF AGRICULTURE FORM APPROVED AGRICULTURAL MARKETING SERVICE LIVESTOCK, POULTRY, GRAIN & SEED DIVISION OMB NO. 40-R3822 No certificate for plant variety protection may APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE be issued unless a completed application form has been received (5 U.S.C. 553), INSTRUCTIONS: See Reverse. TEMPORARY DESIGNATION OF 1b, VARIETY NAME FOR OFFICIAL USE ONLY VARIETY PV NUMBER 7900067 Commander KIND NAME 3. GENUS AND SPECIES NAME FILING DATE TIME 3-28-79 Lettuce Lactuca sativa FEE RECEIVED 500.00 FAMILY NAME (BOTANICAL) 5. DATE OF DETERMINATION 250.00 Compositae June, 1974 NAME OF APPLICANT(S) 7. ADDRESS (Street and No. or R.F.D. No., City, State, and ZIP TELEPHONE AREA Code) CODE AND NUMBER. Keystone Seed Co., Inc. P.O. Box 1438 Hollister, CA 95023 (408) 637-5781 DATE OF INCOR-IF THE NAMED APPLICANT IS NOT A PERSON, FORM OF 10. IF INCORPORATED, GIVE STATE AND ORGANIZATION: (Corporation, partnership, association, etc.) DATE OF INCORPORATION PORATION Corporation 11/23/55 California NAME AND MAILING ADDRESS OF APPLICANT REPRESENTATIVE(S), IF ANY, TO SERVE IN THIS APPLICATION AND RECEIVE ALL PAPERS: E. Braun Keystone Seed Co., Inc. P.O. Box 1438, Hollister, CA 95023 CHECK BOX BELOW FOR EACH ATTACHMENT SUBMITTED: 13A. Exhibit A, Origin and Breeding History of the Variety (See Section 52 of the Plant Variety Protection Act.) 13B. Exhibit B, Novelty Statement. 13C. Exhibit C, Objective Description of the Variety (Request form from Plant Variety Protection Office.) 13D. Exhibit D, Additional Description of the Variety. 14a. DOES THE APPLICANT(S) SPECIFY THAT SEED OF THIS VARIETY BE SOLD BY VARIETY NAME ONLY AS A CLASS OF CERTIFIED SEED7 (See Section 83(a). (If "Yes," answer 14B and 14C below.) YES 14c. IF "YES," TO 14B, HOW MANY GENERATIONS OF PRODUC-DOES THE APPLICANT(S) SPECIFY THAT THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS? TION BEYOND BREEDER SEED? YES CERTIFIED **FOUNDATION** REGISTERED DID THE APPLICANT(S) FILE FOR PROTECTION OF THIS VARIETY IN OTHER COUNTRIES? NO (If "Yes," give name of countries and dates.) NO (If "Yes," give name of countries HAVE RIGHTS BEEN GRANTED THIS VARIETY IN OTHER COUNTRIES? YES and dates.) DOES THE APPLICANT(S) AGREE TO THE PUBLICATION OF HIS/HER (THEIR) NAME(S) AND ADDRESS IN THE OFFICIAL YES NO The applicant(s) declare(s) that a viable sample of basic seed of this variety will be furnished with the application and will be replenished upon request in accordance with such regulations as may be applicable. The undersigned applicant(s) is (are) the owner(s) of this sexually reproduced novel plant variety, and believe(s) that the variety is distinct, uniform, and stable as required in Section 41, and is entitled to protection under the provisions of Section 42 of the Plant Variety Act. Applicant(s) is (are) informed that false representation herein can jeopardize protection and result in penalties. Mar. 21, 1979 Umes (SIGNATURE OF APPLICANT)

(SIGNATURE OF APPLICANT)

(DATE)

FORM GR-470 (1-78)

EXHIBIT A

Origin and Breeding History of Lettuce "Commander"

- 1.) Pedigree of breeding line that became Commander is MR12B6-2Q1M. Experimental testing designator was Exp. #0971.
- 2.) Commander originated from a hand pollinated cross between a randomly selected single plant from the variety Calmar and a randomly selected single plant from the variety Climax, ie: Calmar x Climax. The cross was made in 1966.
- 3.) Using a randomly selected single plant from Climax 84 each time as female, six backcrosses were made onto single plants that were segregants identified or resistant to downy mildew, race 5, by innoculation with the organism. (BC6). (Pedigree MR12B6).
- 4.) The backcross number 6 (BC6) was carried into the F2 as two individual singles randomly selected from an innoculated population for resistance in 1969 (MR12B6-1 & 2). This innoculation and screening in the BC6 was necessary due to imperfect emasculation in making the cross, Climax 84 x BC5, which allows some selfing of Climax 84 to occur.
- 5.) In the F2 of BC6, 19 single plant selections were made from single number 2 above. (MR12B6-2A to S). This was done randomly on the basis of resistance to downy mildew, race 5, by innoculation of the plants with organism. (BC6-S2 generation)
- 6.) Each of the single plant selections in 5 above were progeny tested in El Centro, California during the winter 1971 1972 with 1/2 of the seed of each being retained.
- 7.) The remnant seed of each of the good performers in 6 above was sown in 1972 and each plant resulting was screened for downy mildew resistance by innoculation with the organism. 10 single plants were selected from this screening (MR12B6-201 to 10) (BC6-S3 generation).
- 8.) Seed harvested from each single plant in 7 above was sown in 1973, screened for resistance to downy mildew, race 5 and out of the resistant segregants 20 were saved as single plant selections (MR12B6-2Q1A to T) (BC6-S4 generation).
- 9.) Each single in 8 above was progeny tested in the spring 1974 at Hollister, California with 1/2 of the seed of each being retained. In this progeny test, the single carrying the pedigree MR12B6-2Q1M was selected as a distinct line, superior to either parent and worthy of increase.
- 10.) The remnant seed of MR12B6-2Q1M was used to make a small increase in 1975. Trial plantings, in 1976 in Hollister, California made from seed from this increase indicated this item worthy of naming and introducing.
- 11.) In 1977 a foundation seed increase was made from the seed made in 10 above .
- 12.) Trial plantings were made throughout the western lettuce areas, coastal, inland and desert throughout their respective seasons during 1978 from the foundation seed made in 11 above. In these trials, MRI2B6-2QIM was identified as Keystone Experimental #0971.

- 13.) The trials in 12 above prove this item to be distinct, widely adapted, potentially useful and worthy of introduction. It was officially named "Commander" in February 1979.
- 14.) Due to parentage of the variety, rouging of a taller, darker, semi costype may be required. Frequency of this off type is approximately one plant in 2500.
- 15.) Trials in 1978 of the lot representing two mass increase indicate this line is true breeding and homogenous at the BC6-S4 generation.

Data Indicative of Novelty

Novelty is based on the unique combination of the following characters:

"Commander" most closely resembles "Climax" except that (1) it is resistant to Downy Mildew race 5, (2) it matures 3 to 5 days earlier in the Arizona desert area (3) maturity is more even.

Climax is adapted to fall, winter and early spring maturity in desert like production areas, but even under these conditions it does not mature as evenly nor head down well at all times. In the spring and summer in the California coastal areas, Climax does not produce firm heads. Commander performs well in these seasons and in both desert and coastal areas. Heads are firm and maturity is more even.

Calmar is resistant to downy mildew, race 5. It is adapted to California coastal conditions and performs best when maturing in the spring and early summer. Difficulty is experienced in "heading down" or making well shaped, solid heads during the summer months or warm periods. Calmar does not perform well in the fall, winter or early spring in the California interior or other desert-like production areas.

Commander performs better than Calmar throughout the coastal area season by being more sure-heading, equally resistant to downy mildew race 5. Maturity is the same, but heads are slightly larger in equatorial diameter and slightly less in polar diameter.

Commander performs better than Climax in the desert-like areas in the fall, winter and early spring by being resistant to downy mildew race 5. It averages 3 to 5 days earlier in maturity. It is more sure heading and more uniform in maturity. Frame size is slightly less than Climax and heads slightly smaller.

Commander demonstrates adaptability to all major westernproduction areas in their normal season as neither parent is adapted as broadly. In maturity studies in Yuma, Arizona at 110 days after planting, the percent mature heads of Commander was 62 as compared to 44 for Climax. The standard deviations were 6.1 and 8.3 respectively. Difference is highly significant and demonstrates earliness and uniformity for Commander.

In the Hollister, California area the percent mature heads of Commander was 71 and compared to 68 for Climax. The standard deviations were 7.2 and 8.4 respectively. Difference is significant at the 95% level.

EXHIBIT C (Lettuce)

OBJECTIVE DESCRIPTION OF VARIETY

INSTRUCTIONS: See reverse. (LETT	UCE)	,		
NAME OF APPLICANTIS		FOR OFFICIAL PUPO NUMBER	AL USE ONLY	
Keystone Seed Co., Inc.		790006'	7	
ADDRESS (Street and No. or R.F.D. No., City, State, and ZIP Code) P.O. BOX 1438		VARIETY NAME OR T		
Hollister, CA 95023		DESIGNATION		
Hottister, on 30020		Commander		
Place the appropriate number that describes the varieta	al character of thi	s variety in the bo	xes below.	
	30 = COS	40 · CRISPH		
1. PLANT TYPE:	31 = SELF-CLOS	ING I	PERIAL GROUP	
4 2 10 = CUTTING (Lead) 20 = STALK	GROUP	,	EAT LAKES GROUP	
50 = BUTTERHEAD 60 = LATIN	32 = LOOSE-CLO	· · ·	NGUARD	
70 = OTHER (Specify)	GROOP	14 = NE	W YORK GROUP	
2. SEED COLOR:	3. ANTHOCYANIN:			
2 1 = white 2 = BLACK 3 = YELLOW	1 I = ABSENT	2 = PRESENT		
4. LEAF CONTAINING ANTHOCYANIN:				
4 1 = COVERED 2 = SPOTTED 3 = ALONG MARGIN	4 = ABSENT 5 = OT	HER (Specify)		
5. LEAF SURFACE TEXTURE: 6. LEAF SURFACE REFL	ECTANCE:			
	GLOSSY 3 = OTHER	R (Specify)		
7. LEAF MARGIN:				
1=STRAIGHT 2=WAVY = ENTIRE 2	= DENTATE 3 = IN			
2 3 = CURLED 3 4 = DEEPLY INC	SED 5 = OTHER (S	pecify)		
3. LEAF SHAPE:				
1 1 = ROUNDED 2 = POINTED 1 = BROADER THAN LONG	2 = LONGER THAN BROAD	3 = OTHER (Specify)		
9. LEAF COLOR: (See reverse.) 1 = RED 2 = REDDISH-BROWN 3 = YELLOW 4 = YELLOWISH-GREEN 5 = GREYISH-GREEN				
		J = GRETISH-GREEN		
6 = BLUE-GREEN 7 = DARK-GREEN 8 = VERY DARK	11. PLANT SIZE: (S.	an rawaren l		
10. HEADS: 1 = SPHERICAL 2 = FLATTENED 3 = ELONGATE				
2 1 = SPHERICAL 2 = FLATTENED 3 = ELONGATE 4 = POINTED 5 = NON-HEADING	3 1 = SMALL	. 2 = MEDIUM 3 = 1	ARGE	
12. CULTURE:	.:			
3 1 = SUMMER 2 = WINTER 3 = NOT 4 = UNDE CROP 3 = SPECIFIC 4 = GLASS	R 5 = OTHER (Spec		- first hav () a [0 [2])	
13. SIZE OF 10-DAY OLD SEEDLING GROWN UNDER CONSTANT LIG when size is 9 mm. or less.)	HT (Growth Chamber) A	1 25° C.: (Flace d 2616	III III 31 BOF (A C C C C C C C C C C C C C C C C C C	
6 6 mm. LENGTH OF SEEDLING 2 3 mm. LE	NGTH OF COTYLEDO		WIDTH OF COTYLEDON	
14. DISEASE RESISTANCE TO: (Enter zeroes in box(es) where there is	no special disease res	istance.)		
	MPING OFF	4 = DOWNY MILDE		
5 = SCLEROTINIA 0 6 = BROWN 0 7 = BIG	L	0 8 = OTHER (Specif)		
15. OUTLINE THE FOURTH LEAF: (For standardization purposes, the	fourth leaf should be t	aken from a 20-day old p	ant grown under consider	
light.)				
Marine		{ ~		
~		Clin	ıa x	
Commander Variety		31.11	Variety	

Climax Variety 5

16. INDICATE WH	ICH VARIETY MOST	CLOSELY RESEMB	ES THAT SUBMITTE	D:		
CHAF	RACTER		١	NAME OF VARIETY		
Leaf color		Calmar				
Leaf pigmentat	ion	Calma	ar			
Leaf shape		Calma	ar			
Plant size		Calma	ar			
Head size		Clima	ЭX			
VARIETY	NO. OF DAYS TO HEAD MATURITY UNDER WINTER CROPPING	LOCATION	NO. OF DAYS TO HEAD MATURITY UNDER SUMMER CROPPING	LOCATION	NO. OF DAYS TO SEED STALK EMERGENCE UNDER SUMMER CROPPING	LOCATION
Submitted	109	Yuma, Az	88	Hollister,CA	No _n Bolting	
Similar	114	ш	88	II	Non Bolting	
Name of similar variety	Climax		Calmar		Calmar	

INSTRUCTIONS

GENERAL: The following publications may be used as a reference aid for completing this form:

- C. M. Rodenburg, 1960, <u>Varieties of Lettuce</u>, <u>An International Monograph</u>, Institunt Voor de Veredeling van Tuinbouwgewassen, Wageningen, Holland.
- 2. L. L. Morse, 1930, Field Notes on Lettuce, published by Ferry-Morse Seed Co.

LEAF COLOR: Nickerson's or any recognized color fan may be used to determine the leaf color of the described variety. The following lettuce varieties may be used as a guide to identify the eight colors listed on the form.

Color	<u>Variety</u>
Red	"Salad Trim"
Reddish-brown	"Ruby"
Yellow	"Salad Bowl"
Yellowish-green	"Oakleaf"
Greyish-green	"White Boston"
Bluish-green	"Bibb"
Dark-green	"Imperial" group
Very dark green	"Deer Tongue"

PLANT SIZE: The following varieties may be used as a guide to identify the plant type size:

TYPE		COMPARABLE VARIETIES			
IYAE 	SMALL	MEDIUM	LARGE		
Cutting (leaf)	Boston-Curled	Prize Head	Grand Rapids		
Stalk (stem)	Celtuce				
Cos	Express	Parris Island	Giant White		
Crisphead	Mignonette	Hanson (Merit)	Climax		
Butterhead	Tom Thumb	Big Boston	Mammoth Butter		
Latin	Sucrine	Creole	Deer Tongue		
•			1		

EXHIBIT D

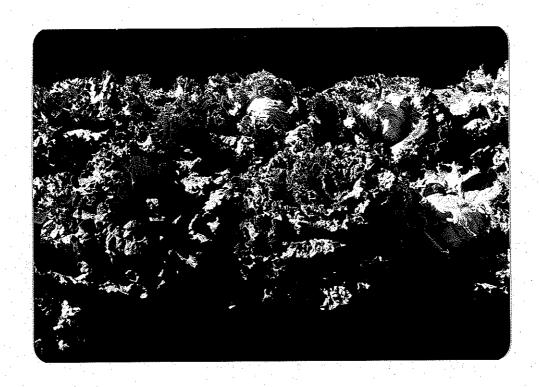
Botanical Description of the Variety

Seed Color is black. Seedling, heading, and flowering stages are normal and typical of Great Lakes group. Leaf surface texture is blistered and reflectance is rather dull. Leaf margins are wavy, slightly incised with serrated edges. The leaves are glabrous, sessile and entire. The leaves are rounded and slightly wider than long. Mature leaves of Commander average 23 cm. in width and 19 cm. in length. Climax leaves are slightly larger; width averages 25 cm. and length 23 cm. Outer leaves of Commander are deepest green color at margin and grade to yellow green at base. Leaf color of Commander near margin approximates plate 23, H-6 while Climax is slightly darker and approximates plate 23, J-8 of the Maerz and Paul Index.

Head of Commander is more symmetrical, wrapper leaves more erect, and cap leaf more prominent. On mature heads, the stem at the butt is smaller and midribs of the leaf are less pronounced than in climax.

Core is rounded to triangular on both varieties. Core length of trimmed head averages 2 cm. for Commander and 1.4 cm. for Climax.

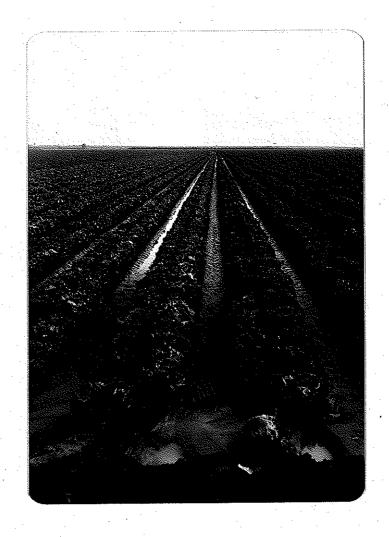
Heads of Commander have typical Great Lakes texture.



Off types in Commander Left: Typical natural varient found in Commander. Also typical in Climax. Right: Off type head but not prominent in Commander.



Left: Climax Right: Commander
Mature heads, showing smaller diameter of stem of Commander, less prominence
of leaf ribs, and broader and flatter butts.



Left of center: Commander Right: Climax
Both varieties planted on same date. Commander appears lighter due to earlier maturity.

Pictures showing Commander and Climax at Yuma, Arizona January 1979



Left: Climax Right: Commander Head of Commander is more symmetrical, wrapper leaves are more erect, with a more prominent cap leaf and larger flag.

PLANT VARIETY PROTECTION CERTIFICATE

ASSIGNMENT

The Sunseeds Division of Agrigenetics Corporation, a Delaware corporation having a place of business at 3575 Mitchell Lane, Boulder, Colorado 80301 ("Agrigenetics"), represents that it is the owner of the entire right, title and interest in and to the plant variety protection certificates and applications for plant variety protection certificates shown below.

For good and valuable consideration, receipt of which is hereby acknowledged, Agrigenetics hereby assigns to UF Genetics, Inc., a Delaware corporation having a place of business at 9800 Fairview Road, Hollister, California 95024, Agrigenetics' entire right, title and interest in and to the following plant variety protection certificates and applications therefore, together with all Agrigenetics' rights to the sexually reproduced plants that are the subject of such certificates and applications:

I. Registered Certificates

Title	Certificate Number	<u>Date</u>
TITTE	Number	Date
Empress	7900045	4/15/82
9014	Ap8100174	9/28/81
9293	Ap8100175	9/28/81
9400	Ap8200007	10/22/81
Paymaster	7600058	12/7/77
Lakeland	7600059	1/26/78
Triumph	7600061	12/30/77
Broker's Choice	8100175	4/28/83
Profit Maker	8100174	4/28/83
Shannon	8200007	4/28/83
Sunrise	7100029	6/24/74
Lake Shasta	7100030	8/12/74
Lake Erie	7100031	8/12/74
Rebel	7100033	9/30/74
Lake Superior	7100034	5/21/74
Miami	7100036	2/28/74
Lake Geneva	7200068	5/21/74
Scanion	7300001	11/15/74
Picoverde	7300016	4/10/73
Raider	7400069	7/26/74

Lake Largo	7400104	9/30/74
Lake Seneca	7500096	11/24/75
Chaparral	7600052	5/16/77
Costaverde	7600053	8/24/77
Gustoverde	7600054	8/24/77
Mesaverde	7600055	5/31/77
Conquest	7700058	7/26/77
Commander	7900067	7/26/79
Keygold	8000111	10/16/80
Snapbean, Exp. 163	7600058	12/7/77
Snapbean, Exp. 195	7600059	1/6/78
'Green Genes' Bea	an 7600060	12/7/77
Snapbean, Exp. 116-		12/30/77
Mikado (AVX 450)	Ap8400037	12/30/83
Mystro	8500064	4/16/85

II. Pending Certificate Applications

Title	Application Number	Filing Date
Cajun Queen	Pending	
Mendota	Pending	
Sunset	Pending	
Alpine	Pending	
Polaris	Pending	·

AGRIGENETICS CORPORATION

By: Murray Rolinia Title: Executive Vice President

COMMONWEALTH OF MASSACHUSETTS	;)
County of Suffolk)
ニー・ニー・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・	of April, 1986, before me appeared, the person who signed this that he signed it as a free act on ation.
•	Signal & Hackle
	Notary Public My Commission Expires:

\$ 15 A

O'MELVENY & MYERS

400 SOUTH HOPE STREET
LOS ANGELES, CALIFORNIA 90071-2899
TELEPHONE (213) 669-6000
FACSIMILE (213) 669-6407

EMBARCADERO CENTER WEST 275 BATTERY STREET SAN FRANCISCO, CALIFORNIA 94111-3305 TELEPHONE (415) 984-8700 FACSIMILE (415) 984-8701

1999 AVENUE OF THE STARS LOS ANGELES, CALIFORNIA 90067-6035 TELEPHONE (310) 553-6700 FACSIMILE (310) 246-6779

GIO NEWPORT CENTER DRIVE NEWPORT BEACH, CALIFORNIA 92660-6429 TELEPHONE (714) 760-9600 FACSIMILE (714) 669-6994

WRITER'S DIRECT DIAL NUMBER (202) 383-5350

555 13TH STREET, N.W. WASHINGTON, D. C. 20004-1109

TELEPHONE (202) 383-5300

TELEX 89622 · FACSIMILE (202) 383-5414

March 16th 1 9 9 5 CITICORP CENTER
153 EAST 53RP STREET
NEW YORK, NEW YORK 10022-461
TELEPHONE (212) 326-2000
FACSIMILE (212) 326-2061

ONE GATEWAY CENTER
NEWARK, NEW JERSEY D7102
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IO FINSBURY SQUARE LONDON EC2A ILA TELEPHONE (0171) 256-8451 FACSIMILE (0171) 638-8205

SANBANCHO KB-6 BUILDING 6 SANBANCHO, CHIYODA-KU TOKYO 102 TELEPHONE (03) 3239-2800 FACSIMILE (03) 3239-2432

IIO4 LIPPO TOWER LIPPO CENTRE 89 QUEENSWAY, CENTRAL HONG KONG TELEPHONE (852) 523-8266 FACSIMILE (862) 522-1760

QUR FILE NUMBER 410,894-14 DC1-211237.V1

VIA FEDERAL EXPRESS

Plant Variety Protection Office U.S. Department of Agriculture NAL Building, Room 500 10301 Baltimore Boulevard Beltsville, Maryland 20705-2351

Attn: Ms. Ann K. Zempolich

Re: Assignment of Plant Variety Protection Certificates
Owned by Sunseeds Company to Internationale
Nederlanden (U.S.) Capital Corporation, as agent for
the Lenders party to the Amended and Restated Credit
Agreement Referred to Below

Dear Ladies and Gentlemen:

Enclosed please find an originally executed copy of each of (i) the Amended and Restated Security Agreement (the "Security Agreement") dated as of March 14, 1995 by and between Sunseeds Company, a Delaware corporation (formerly known as Sunseeds Acquisition Corporation) ("Sunseeds"), and Internationale Nederlanden (U.S.) Capital Corporation, a Delaware corporation ("ING"), as agent for the Lenders party to the Amended and Restated Credit Agreement referred to below (in such capacity, the "Agent") and (ii) the Amended and Restated Plant Variety Certificates Collateral Assignment (the "Assignment") dated as of March 14021995 by and among Lehman Commercial Paper Inc., a New York corporation ("Lehman"), ING, as Agent, and Sunseeds. The Security Agreement and Assignment are provided for recordation of the assignment to ING, as Agent, of the 26 plant variety protection certificates ("PVPCs") listed in Schedule I of the Assignment in accordance with 7 U.S.C. § 2531 and 7 C.F.R. § 97.130.

The PVPCs subject to the Assignment were assigned to Lehman, as agent for the lenders party to that certain Credit Agreement (the "Original Credit Agreement") dated as of March 31, 1994 by and among Sunseeds, Sunseed Corporation, a Delaware corporation (the Co-Borrower"), Lehman, as agent, and the lenders

listed therein, pursuant to that certain Plant Variety Certificates Collateral Assignment (the "Original Assignment") dated as of March 31, 1994 by and between Sunseeds and Lehman, as agent for such lenders. The Original Credit Agreement was amended and restated pursuant to that certain Amended and Restated Credit Agreement dated as of March 14, 1995 by and among Sunseeds, the Co-Borrower, the Lenders, ING, as Agent, and Lehman, to provide for, among other things, the resignation of Lehman as agent and the appointment of ING as successor agent thereto. In connection therewith, the Security Agreement and the Assignment were entered into to amend and restate that certain Security Agreement dated as of March 31, 1994 by and between Sunseeds and Lehman, as agent for the lenders party to the Original Credit Agreement, and the Original Assignment and to reflect the appointment of ING as Agent.

From my telephone conversations with Ms. Ann K. Zempolich and Dr. Kenneth H. Evans of your office, it is my understanding that you will file a copy of this entire cover letter and a copy of Schedule I in the folder of each PVPC listed in Schedule I by placing the copies immediately behind each PVPC in order to notify anyone examining these PVPCs of ING's interest, as agent, in them and refer the examiner to the Security Agreement and Assignment filed in the Assignment Drawers of the Plant Variety Protection Office. Please take this action and any other steps necessary and appropriate to record the assignment in compliance with 7 U.S.C. § 2531 and 7 C.F.R. § 97.130.

To acknowledge your receipt of this letter, the Security Agreement and Assignment, please sign the enclosed copy of this letter on the designated signature line and return the signed copy to me in the enclosed prepaid Federal Express envelope. Thank you for your assistance.

Sincerely,

Ellen Waldorf

for O'MELVENY & MYERS

EW/pt Enclosure

SCHEDULE I

PVPCs

COLLATERAL ASSIGNMENT - PLANT VARIETY PROTECTION CERTIFICATES

PV#	Crop Kind	Name of Variety	Certificate Issue Date	Expiration Pate
8100077	Tomato	Castlong ug		·
8100078	Tomato	Castlerock	11/26/82	11/26/2000
8200139	Tomato	Castle Red		11/26/2000
7900076	Onion	Colossal	11/26/82	11/26/2000
7900086	Onion	New Mexico White Grano PRR		10/18/1996
7900117	Onion	New Mexico Yellow Grano PRR	10/18/79	10/18/1996
8000039	Onion		01/29/80	01/29/1997
8000040		Red Sunset	07/31/80	07/31/1997
	Onion	Blanco Duro	07/31/80	07/31/1997
8000041	Onion	Brooks PRR	07/31/80	07/31/1997
8000159	Onion	Texspan PRR	06/11/81	06/11/1999
8000161	Onion	Early Grand PRR	07/30/81	07/30/1999
8100128	Onion	Glory	04/28/83	04/28/2001
8100129	Onion	Paradise	04/28/83	04/28/2001
8100130	Onion	Regal	04/28/83	04/28/2001
8100166	Onion	Sweet Winter	11/26/82	11/26/2000
8300083	Onion	Crystal Wax Pickling	12/30/83	12/30/2001
9100045	Tomato	Sun 6095	01/31/92	01/31/2010
7600052	Lettuce	Chaparral	05/16/77	05/16/1994
7600053	Lettuce	Costaverde	08/24/77	08/24/1994
7600054	Lettuce	Gustaverde	08/24/77	08/24/1994
7600055	Lettuce	Mesaverde	05/31/77	05/31/1994
7900067	Lettuce	Commander	07/26/79	07/26/1996
8500064	Tomato	Mystro	09/30/87	09/30/2005
8700194	Tomato	Sun 1643	11/29/91	11/29/2009
8800057	Pepper	Prima Belle	09/30/88	09/30/2006
8300168	Okra	Cajun Queen	09/27/85	09/27/2003

Each of the above-referenced Plant Variety Protection Certificates are owned by Sunseeds Company (formerly known as Sunseeds Acquisition Corporation), a Delaware corporation.



Agricultural Research Service

Northern Plains Area National Seed Storage Laboratory

1111 South Mason Street Fort Collins, CO 80521-450. Telephone: 970 495-3200 970 221-1427

February 14, 1997

Marian R. Minnifield, Secretary Plant Variety Protection Office NAL Building, Room 500 10301 Baltimore Boulevard Beltsville, Maryland 20705-2351

Subject: Expired PVPO's; disposition of

1. The following expired PVPO's have been transfered to the NPGS. Our records have been changed accordingly.

Serial Nun	<u>ıber</u>	PVP Number
101862	01	PVP 7800029
102219	01	PVP 7800010
102675	01	PVP 7800088
102676	01	PVP 7400011
103506	01	PVP 7800084
103507	01	PVP 7900016
103508	01	PVP 7800082
103840	01	PVP 7900017
103842	01	PVP 7900067
104549	01	PVP 7700106
104551	01	PVP 7100046
314988	01	PVP 9500276
101863	01	PVP 7800026
102222	01	PVP 7800078
102226	01	PVP 7800091
101854	01	PVP 7200134
102214	01	PVP 7605014
102216	01	PVP 7900011
102217	01	PVP 7800095
102218	01	PVP 7800093
102220	01	PVP 7800097
102221	01	PVP 7800042

United States Department of Agriculture

Agricultural Research Service

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1111 South Mason Street Fort Collins, CO 80521-4500 Telephone: 970 495-3200 Fax: 970 221-1427

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102673	01	PVP 7800059
103502	01	PVP 7800096
103503	01	PVP 7800074
103509	01	PVP 7900044
103510	01	PVP 7900047
103838	01	PVP 7500042
103843	01	PVP 7300101
101859	01	PVP 7200132
102227	01	PVP 7700085
103511	02	PVP 7800028
103839	01	PVP 7900049
103845	01	PVP 7900048
104548	02	PVP 7800057
104550	01	PVP 7800024

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Sincerely,

GENE KEYS Data Coordinator



From Technology to Life

P.O. Box 1438, 2320 Technology Parkway, Building 11 Suite A, Hollister, CA 95024-1438 USA 408/636-9505 TWX 910-3720254

June 7, 1988

Kenneth H. Evans, Commissioner Plant Variety Protection Office National Agriculture Library Building, Room 500 Beltsville, MD 20705

Re: Change of Assignment.

Dear Mr. Evans:

This letter is in reference to your correspondence to me, dated July 14, 1987. I wish to make it clear that this change of assignment is to indicate a name change only, from U.F. Genetics, Inc. to Sunseeds Genetics, Inc.

Also, in reference to 'Mystro' tomato, have Item 1 read Sunseeds Genetics, Inc. and issue the certificate to Sunseeds Genetics, Inc.

Enclosed please find a check in the amount of \$170.00 to cover the cost of changing the certificates.

Title	Certificate No.	Date
Empress	7900045	4/15/82
9014	Ap8100174	9/28/81
9293	Ap8100175	9/28/81
9400	Ap8200007	10/22/81
Paymaster	7600058	12/7/77
Lakeland	7600059	1/26/78
Triumph	7600061	12/30/77
Broker's Choice	8100175	4/28/83
Profit Maker	8100174	4/28/83
Shannon	8200007	4/28/83
Sunrise	7100029	6/24/74
Lake Shasta	7100030	8/12/74
Lake Erie	7100031	8/12/74
Rebel	7100033	9/30/74
Lake Superior	7100034	5/21/74



June 7, 1988 Kenneth H. Evans Page 2

Title	Certificate No.	Date	
Miami	7100036	2/28/74	
Lake Geneva	7200068	5/21/74	
Scanion	7300001	11/15/74	
Picoverde	7300016	4/10/73	
Raider	7400069	7/26/74	
Lake Largo	7400104	9/30/74	
Lake Seneca	7500096	11/24/75	
Chaparral	7600052	5/16/77	
Costaverde	7600053	8/24/77	
Gustoverde	7600054	8/24/77	
Mesaverde	7600055	5/32/77	
Conquest	7700058	7/26/77	
Commander	7900067	7/26/79	
Keygold	8000111	10/16/80	
Snapbean, Exp. 163	7600058	12/7/77	
Snapbean, Exp. 195	7600059	1/6/78	
'Green Genes' Bean	7600060	12/7/77	
Snapbean, Exp. 116-0	7600061	12/30/77	
Mikado (AVX 450)	Ap8400037	12/30/83	

Sincerely,

Gene Hookstra Vice President, Research

GH/mo

Check enc:

Copy of Correspondence from K.H. Evans

Agreement (the "Agreement"), a copy of which is attached hereto as "Exhibit A" and is incorporated herein by this reference. the terms of the Agreement, Sunseeds granted to Security Pacific, as Agent for Banks, a security interest in any and all right, title, and interest in, to, and under all Certificates of Plant Variety Protection granted to Sunseeds and/or any of predecessors in interest, subsidiaries, and/or divisions, including, but not limited to, the following Certificates of Plant Variety Protection, copies of which are attached hereto as "Exhibit В":

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12	<u>Certificate</u> <u>Number</u>	Date Issued	<u>Grantee</u>	<u>V a r i e t y</u> <u>Protected</u>
13	8800057	9-30-88	C	Uprajona paggan
14	0000037	9-30-66	Sunseeds Genetics, Inc.	"Prima Belle" Pepper
15	8500064	9-30-87	Sunseeds Genetics, Inc.	"Mystro" Tomato
16			Genetics, Inc.	Tomaco
17	8300168	9-27-85	Sunseeds, A Division of	"Cajun Queen" Okra
18			Agrigenetics	· ·
19	7900067	7-26-79	Keystone Seed Co., Inc.	"Commander" Lettuce
20				The state of the s
21	7600053	8-24-77	Keystone Seed Company	"Costaverde" Lettuce
22	7600055	5-31-77	Keystone Seed	"Mesaverde"
23			Company	Lettuce
24	7600052	5-16-77	Keystone Seed	"Chaparral"
25			Company	Lettuce
26	7300001	11-15-74	Keystone Seed Company	"Scanion" Onion
- 11		the state of the s	and the second of the second o	

7300016	4-10-73	Keystone Seed	"Picoverde"
•		Company	Lettuce

6. In addition, under the terms of the Supplementary Security Agreement, Sunseeds has granted to Agent, on behalf of Banks, a security interest in any and all right, title, and interest in, to, and under all of Sunseeds' Applications for Plant Variety Protection currently pending with the United States Department of Agriculture, Plant Variety Protection Office, including, but not limited to, the following Applications, copies of which are attached hereto as "Exhibit C":

Application Number	Filing Date	<u>Applicant</u>	<u>Variety</u>
8900171	4-18-89	Sunseeds Genetics, Inc.	"White Diamond" Cauliflower
8700194	8-24-87	Sunseeds Genetics, Inc.	"Sunex 1643" Tomato

6. This Affidavit is to evidence the transfer of a security interest only, and does not reflect the transfer of any other interest in the above-referenced Certificates and Applications.

Dated: December 15, 1989.

Roderick N. Stacey

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25 26 Roderick N. Stacey, being first duly sworn, says:

- am the President and Chief Executive Officer of Sunseeds Genetics, Inc. ("Sunseeds"), a corporation organized and existing pursuant to the laws of the state of Delaware, and having its principal place of business in Hollister, California. I am authorized to make this affidavit on behalf of Sunseeds.
- Sunseeds is a former division of Agrigenetics Corporation successor to certain Certificates of Plant Variety Protection granted to Agrigenetics Corporation, including, but not limited to, those Certificates listed in paragraph number five (5) of this affidavit which designate Agrigenetics Corporation as a grantee.
- Sunseeds has acquired by merger or purchase all of the 3. Certificates of Plant Variety Protection listed in Paragraph number five (5) of this affidavit.
- Sunseeds, Security Pacific National Bank, ("Security Pacific"), Cooperatieve Centrale Raiffeisen-Boerenleenbank, B.A., New York Branch ("Rabobank Nederland"), and Caisse Nationale De Credit Agricole ("Credit Agricole") (Security Pacific, Rabobank Nederland, and Credit Agricole are collectively referred to as the "Banks") and Security Pacific as Agent for the Banks, are parties to a Credit Agreement dated as of January 6, 1989.
- As of August 3, 1989, Sunseeds and Security Pacific as Agent for the Banks, entered into a Supplementary Security

PLANT VARIETY PROTECTION ASSIGNMENT

THIS PLANT VARIETY PROTECTION ASSIGNMENT ("Assignment") is made and entered into as of this _____ day of ______, 1994, ("Effective Date"), by and between Sunseeds Ltd., L.P., a Delaware limited partnership, ("Assignor"), and Sunseeds Company (formerly Sunseeds Acquisition Corporation), a Delaware corporation, ("Assignee").

WHEREAS, pursuant to a certain Asset Purchase Agreement dated March 7, 1994, Assignor has agreed to sell to Assignee substantially all of Assignor's assets used in the Assignor's business, including the United States Plant Variety Protection Certificates set forth on Schedule A attached hereto (the "PVP Certificates") and the foreign plant variety registrations set forth on Schedule B attached hereto (the "Foreign Registrations").

WHEREAS, Assignor is the sole and exclusive owner of the entire right, title and interest in, to and under the PVP Certificates and Foreign Registrations; and

WHEREAS, Assignee wishes to acquire and Assignor wishes to assign all right, title and interest in and to the PVP Certificates and Foreign Registrations.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor does hereby sell, assign, transfer and set over to Assignee, the entire right, title and interest in and to the PVP Certificates and Foreign Registrations, for the United States and for any foreign country, for its own use and enjoyment, and for

the use and enjoyment of its successors, assigns or other legal representatives, as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment and sale had not been made; together with all claims for damages by reason of past, present or future infringement or other unauthorized use of the plants protected by the PVP Certificates and Foreign Registrations, with the right to sue for, and collect the same for its own use and enjoyment, and for the use and enjoyment of its successors, assigns, or other legal representatives.

Assignor authorizes and requests the Department of Agriculture to record Assignee as owner of the PVP Certificates and assignee of the entire right, title and interest in, to and under the same, for the sole use and enjoyment of Assignee, its successors, assigns or other legal representatives.

Assignor authorizes and requests the Ministero Per II Coordinamento Delle Politiche Alimentare Gest. Prod. Agricole and any other organization, department or agency with jurisdiction over registration of plant varieties to record Assignee as owner of the Foreign Registrations and assignee of the entire right, title and interest in, to and under the same, for the sole use and enjoyment of Assignee, its successors, assigns or other legal representatives.

Assignor shall provide Assignee, its successors, assigns or other legal representatives, reasonable cooperation and assistance at Assignee's request (such as the execution and

delivery of any and all affidavits, declarations, oaths, exhibits, assignments, powers of attorney or other documentation as may be reasonably required) in the implementation or perfection of this Assignment.

IN TESTIMONY WHEREOF, the undersigned has caused this Assignment to be signed and executed this 34 day May 1994.

Sunseeds Ltd., L.P.

By Arabo

Name Carlo M rabo

Title: resident/cfo

STATE OF May 1994, there appeared before me same person whose name is subscribed to the foregoing Assignment and who acknowledged that he signed the foregoing Assignment as his voluntary act and deed for the use and purpose therein set forth.

Notary Public

Notary Public

Sendra K Park Notary Public

Prisburgh, Aleggieny Court of the Same person whose name is subscribed to the foregoing Assignment as his voluntary act and deed for the use and purpose therein set forth.

Assignment

Schedule A

PLANT VARIETY PROTECTION CERTIFICATES

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Current Record Owner	PV#	Crop Kind	Name of Variety	Cert. Issue Date	Expiration Date
A.L. Castle, Inc.	8100077	Tomato	Castlong ug	11/26/82	11/26/2000
- · · · · · · · · · · · · · · · · · · ·	8100078	Tomato .	Castlerock	11/26/82	11/26/2000
	8200139	Tomato	Castle Red	11/26/82	11/26/2000
ARCO Seed Co.	7900076	Onion	Colossal	10/18/79	10/18/96
	7900086	Onion	New Mexico White Grano PRR	10/18/79	10/18/96
	7900117	Onion	New Mexico Yellow Grano PRR	1/29/80	1/29/97
	8000039	Onion	Red Sunset	7/31/80	7/31/97
	8000040	Onion	Blanco Duro	7/31/80	7/31/97
	8000041	Onion	Brooks PRR	7/31/80	7/31/97
	8000159	Onion	Texspan PRR	6/11/81	6/11/99
	8000161	Onion	Early Grand PRR	7/30/81	7/30/99
	8100128	Onion	Glory	4/28/83	4/28/2001
	8100129	Onion	Paradise	4/28/83	4/28/2001
	8100130	Onion	Regal	4/28/83	4/28/2001
	8100166	Onion	Sweet Winter	11/26/82	11/26/2000
	8300083	Onion	Crystal Wax Pickling	12/30/83	12/30/2001
	8100001	Triticale	Jenkins	2/15/84	
	8200032	Triticale	Grace	11/15/81	
	8100150	Celery	Grande	1/13/83	
Sunseeds, Div. of Westseeds, Inc.	9100045	Tomato	Sun 6095	1/31/92	1/31/2010
Sunseeds Genetics, Inc.	7600052	Lettuce	Chaparral	5/16/77	5/16/94
	7600053	Lettuce	Costaverde	8/24/77	8/24/94
	7600054	Lettuce	Gustaverde	8/24/77	8/24/94
	7600055	Lettuce	Mesaverde	5/31/77	5/31/94
	7900067	Lettuce	Commander	7/26/79	7/26/96
	8500064	Tomato	Mystro	9/30/87	9/30/2005
	8700194	Tomato	Sun 1643	11/29/91	11/29/2009
	8900171	Cauliflower	White Diamond	4/18/89	
	8800057	Pepper	Prima Belle	9/30/88	9/30/2006
UF Genetics, Inc.	8300168	Okra	Cajun Queen	9/27/85	9/27/2003